



2025 PolicySourceTM
Hospital and Critical
Access Hospital

Policy
SourceTM

Copyright and Acknowledgments

Joint Commission Resources Mission

The mission of Joint Commission Resources (JCR) is to continuously improve the safety and quality of health care in the United States and in the international community through the provision of education, publications, consultation, and evaluation services.

Disclaimers

JCR educational programs and publications support, but are separate from, the accreditation activities of The Joint Commission. Attendees at Joint Commission Resources educational programs and purchasers of JCR publications receive no special consideration or treatment in, or confidential information about, the accreditation process. The inclusion of an organization name, product, or service in a JCR publication should not be construed as an endorsement of such organization, product, or service, nor is failure to include an organization name, product, or service to be construed as disapproval.

This publication is designed to provide accurate and authoritative information regarding the subject matter covered. Every attempt has been made to ensure accuracy at the time of publication; however, please note that laws, regulations, and standards are subject to change. Please also note that some of the examples in this publication are specific to the laws and regulations of the locality of the facility. The information and examples in this publication are provided with the understanding that the publisher is not engaged in providing medical, legal, or other professional advice. If any such assistance is desired, the services of a competent professional person should be sought.

All example policies, procedures, and plans are offered only as reference material for development of your own similar policies, procedures, and/or plans. You should never copy an example policy, procedure, or plan to use as your own. They must be adjusted to match your unique organization, its particular population-based issues, and applicable laws and regulations, so use examples as reference only. The content contained herein is provided “AS-IS” and The Joint Commission, or its affiliates, makes no warranty or representation of any kind related to the content whether expressed, statutory, implied, or otherwise, including but not limited to, the suitability of the example policies, procedures, and/or plans for any particular purpose. The use of these example policies, procedures, and/or plans will not directly impact your accreditation or certification and is for your internal use only related to patient safety or health care quality improvement efforts. TJC assumes no responsibility or obligation with respect to the content, data, or information used to customize the policies, procedures, and/or plans. You assume all responsibilities with respect to any decisions made as a result of using these example policies, procedures, and/or plans, and for the content, accuracy, and review of such content.

© 2025 The Joint Commission. All rights reserved. For Internal Distribution Only.

ISBN: 978-1-63585-427-5

Published by Joint Commission Resources

Oakbrook Terrace, Illinois 60181 USA

<https://www.jcrinc.com>

Joint Commission Resources, Inc. (JCR), a not-for-profit affiliate of The Joint Commission, has been designated by The Joint Commission to publish publications and multimedia products. JCR reproduces and distributes these materials under license from The Joint Commission.

All rights reserved. No part of this publication may be reproduced for external distribution in any form or by any means without written permission from the publisher. Requests for permission to make copies of any part of this work should be sent to permissions@jcrinc.com.

For more information about The Joint Commission, please visit <https://www.jointcommission.org>.

Development Team

Executive Editor: Kathy DeMase

Senior Project Manager: Heather Yang

Associate Director, Editorial, Accreditation Content: Mary Beth Curran

Associate Director, Production: Johanna Harris

Executive Director, Global Publishing: Catherine Chopp Hinckley, MA, PhD

Joint Commission Enterprise Reviewers

Jennifer Anderson, MSN, RN, APN/CSN, Director, Global Accreditation and Certification Product Development

Melissa Bocker, Associate General Counsel

Patricia Buckberg, DNP, PNP, MSN, CNS, Field Director, Surveyor Management and Support

Robert Campbell, PharmD, BCSCP, Senior Director, Standards Interpretation Group, Accreditation Decision Management and Medication Safety

Caroline Christensen, BS, Product Director, Global Accreditation and Certification Product Development

Christina L. Cordero, PhD, MPH, Senior Product Director, Global Accreditation and Certification Product Development

Karen Grace, RN, MSN, Field Director, Surveyor Management and Support

Lisa Hayes, MSN, RN, CPHQ, CJCP, Consultant, Continuous Service Readiness

Theresa Hendricksen, RN, BSN, MS, FACHE, Vice President, Accreditation and Certification Operations

Chad Larson, MBA, Executive Director, Hospital Accreditation Program

Thomas Magliocchetti, Consultant

Timothy Markijohn, MBA/MHA, CHFM, CHE, Field Director, Surveyor Management and Support

Herman A. McKenzie, MBA, CHSP, Director, Physical Environment, Standards Interpretation Group

Lynn Moran, PharmD, Surveyor, Field Operations

Angela Murray, MSN, RN, Senior Product Director, Global Accreditation and Certification Product Development

Phavinee Thongkhong-Park, RN, PhD, Associate Director, Global Accreditation and Certification Product Development

Stacey Paul, MSN, RN, APN/PMHNP-BC, Product Director, Global Accreditation and Certification Product Development

Natalya Rosenberg, PhD, RN, Associate Director, Global Accreditation and Certification Product Development

Antonette Silvestri, MSN, APRN, Nurse Surveyor, Field Operations

Laura Smith, MA, Senior Product Director, Global Accreditation and Certification Product Development


Timothy Sorg, MD, MBA, Surveyor, Field Operations

Susan M. Streit, MSN, RN, CCM, CPHQ, CPPS, Surveyor, Division of Accreditation and Certification Operations

John Wallin, MS, RN, Associate Director, Standards Interpretation Group

Tiffany Wiksten, DNP, RN, CIC, Team Lead, Standards Interpretation Group






Table of Contents

- Some items in the Table of Contents are indicated as “updated” or “new.” This means that these policies and procedures (P&Ps) have been updated or created since the previous edition of *PolicySource*. These changes reflect updates in the Joint Commission standards and elements of performance for hospital and critical access hospital accreditation. **Note:** All P&Ps have been updated to improve accessibility.
- Those items with a paper clip icon  are not P&Ps themselves but supplementary materials or attachments for a particular P&P. Not every P&P has such attachments, but that does not prohibit someone using *PolicySource* to create their own ancillary materials for any of their own P&Ps.



Introduction to *PolicySource*™ 1

Sample Policies and Procedures for Hospital and Critical Access Hospital

Environment of Care (EC) 21

- [Environment of Care Plan](#)
- [Active Threat Response Procedures](#)
- [Workplace Violence Prevention Plan](#)
- [Smoke-Free Policy](#)
- [Hazardous Material Spill Response Procedures](#)
- [Fire Response Plan](#)
- [Fire Drill Procedures](#)
 -  [Fire Drill Evaluation Form](#)
 -  [Fire Drill Scheduling Tool](#)
- [Fire Safety Equipment and Building Features Maintenance Policy](#)
- [Medical Equipment Failure Response Procedures](#)
- [Utility System Disruption Response Procedures](#)
- [Ventilation in Critical Care Areas Policy](#)
 -  [Critical Care Area Environmental Log](#)
- [Legionella and Other Waterborne Pathogens Management Plan](#)
 -  [Legionella Sample Data Sheet](#)
- [Water Risk Management Plan](#)
- [Providing Emergency Power to Medication Dispensing Equipment Policy](#)
- [Emergency Backup for Medication Refrigeration Policy](#)
- [Emergency Lighting System, Exit Sign, and Emergency Generator Testing Procedures](#)
- [Piped Medical Gas and Vacuum System Management Policy](#)
- [Compressed Gas Cylinder Management Policy](#)
- [Infection Control During Construction Policy](#)
 -  [Infection Control Measures Evaluation Checklist](#)
- [Environmental Safety Management Processes](#)
- [Environment of Care Management Plan Annual Evaluation Process](#)

Emergency Management (EM)	25
<ul style="list-style-type: none"> • Emergency Management Program Development Plan 📎 Compliance Assessment Checklist of Emergency Management Standards • Unified and Integrated Emergency Management Plan • Hazard Vulnerability Analysis Policy • Emergency Operations Plan 📎 After-Action Report • 1135 Waiver Request Procedures • Emergency Communications Plan 📎 Patient Emergency Tracking Log • Emergency Response Staffing Plan • Plan for Patient Care and Clinical Support During an Emergency 📎 Application and Approval Form to Serve as an Emergency Volunteer 📎 Volunteer Practitioner Evaluation Form • Plan for Managing Safety and Security During an Emergency • Plan for Managing Resources and Assets During an Emergency • Plan for Managing Utilities During an Emergency • Continuity of Operations Plan • Disaster Recovery Plan • Emergency Management Education and Training Policy 	
Human Resources (HR)	28
<ul style="list-style-type: none"> • Staff Orientation Plan 	
Infection Prevention and Control (IC)	29
<ul style="list-style-type: none"> • UPDATED! Health Care–Associated Infections Management Policy 📎 NEW! Compliance Assessment Checklist of IC Standards • NEW! Policy for Cleaning, Disinfecting, and Sterilizing Reusable Medical and Surgical Devices and Equipment • NEW! Protocols to Prepare for High-Consequence Infectious Diseases or Special Pathogens 📎 NEW! Assessment Checklist for High-Consequence Infectious Diseases Preparedness • UPDATED! Staff Vaccination Policy 	
Information Management (IM)	30
<ul style="list-style-type: none"> • Information Systems Interruption Management Plan 📎 New Technology Decision Checklist • Confidentiality and Security of Health Information Policy • Remote Patient Monitoring Policy 	
Leadership (LD)	31
<ul style="list-style-type: none"> • Conflict of Interest Policy • Code of Conduct Policy • System or Process Failures Response Policy • Ionizing Radiation Safety Policy • Patient Flow Management Policy 	

Life Safety (LS)	32
• Interim Life Safety Measures Policy	
Medication Management (MM)	33
• Accessibility of Patient Information Policy	
• High-Alert and Hazardous Medications Management Policy	
• Medication Substitution Protocols	
• Medication Control Policy	
• Medication Orders Policy	
• Medication Titration Orders Policy	
• Sterile Compounding Policy for Nonhazardous and Hazardous Medications	
• Sterile Compounding Staff Competency Procedures	
• Medication Compounding Quality Assurance Program	
• Recalled Medication Management Policy	
• Medication Administration Procedures	
• Self-Administered Medications Policy	
• Investigational Medications Management Policy	
• Medication Event Response Policy	
•  Medication Event Incident Report	
• Automatic Dispensing Cabinets Override Review Policy	
• Plan for Developing an Antibiotic Stewardship Program	
Medical Staff (MS)	35
• Medical Staff Bylaws, Rules, and Regulations Policy	
• Emergency Appraisal Treatment and Referral Policy	
• Graduate Medical Education Supervision and Accountability Policy	
• Clinical Privileges Application Processing Procedures	
• Dissemination of Privileging Decisions Policy	
• Temporary Privileges Policy	
• Focused Professional Practice Evaluation Policy	
• Ongoing Professional Practice Evaluation Policy	
• Clinical Practice Investigations and Corrective Action Policy	
• Adverse Decision Appeal Policy	
National Patient Safety Goals (NPSG)	38
• Critical Diagnostic Test Results Management Policy	
• Anticoagulation Management Policy	
• Medication Reconciliation Policy	
• Clinical Alarm Management Policy	
• UPDATED! Hand Hygiene Policy	
• UPDATED! Suicide Risk Management Assessment and Prevention Policy	
• Plan for Improving Health Care Equity	
• Preprocedure Verification Procedures	
•  Preprocedure Verification Form	
• Surgical Site Marking Alternative Procedures	
• Surgical Time-Out Procedures	

Nursing (NR)	40
<ul style="list-style-type: none"> • Nurse Staffing Plan Development Policy • Nursing Policies and Procedures Development Policy 	
Provision of Care, Treatment, and Services (PC)	41
<ul style="list-style-type: none"> • Initial Assessment Procedures 📎 Fall Risk Assessment Form 📎 Suicide Risk Assessment Form • Fall Risk Assessment and Mitigation Policy • Abuse and Neglect Assessment Procedures • Individualized Care Plan Development Procedures • UPDATED! Adult Electroconvulsive Therapy Policy • Patient Behavior Management Policy • Blood Transfusion Policy • Resuscitation Services Policy • Recognizing Early Warning Signs of Change or Deterioration in Patient Condition Policy • Post-Resuscitation Care Procedures • Anesthesia Services Policy • Specimen Management Policy • Restraint and Seclusion Policy 📎 Restraint and Seclusion Decision Checklist • Discharge Planning Policy • Blood Handling Policy • Maternal Hemorrhage Risk Assessment Policy • Maternal Hemorrhage Management Procedures 📎 Maternal Hemorrhage Cart Checklist • Maternal Blood Pressure Measurement Procedures • Procedures for the Management of Severe Maternal Hypertension/Preeclampsia 📎 Severe Maternal Hypertension/Preeclampsia Debriefing Form 	
Performance Improvement (PI)	44
<ul style="list-style-type: none"> • Performance Improvement Plan 	
Record of Care, Treatment, and Services (RC)	45
<ul style="list-style-type: none"> • Medical Record Entry Authentication Policy • Medical Record Timeliness Policy • Medical Record Retention Policy 	
Rights and Responsibilities of the Individual (RI)	46
<ul style="list-style-type: none"> • Patient Rights Policy • UPDATED! Visitor Policy During Infectious Disease Surge 📎 COVID-19 Visitor Prescreening Checklist • Patient Right to Decision-Making Policy • Informed Consent Policy 	

- [Advance Directives Policy](#)
- [Mistreatment and Misappropriation Prohibition Policy](#)
- [Patient Responsibilities Policy](#)

Transplant Safety (TS) 47

- [Affiliated Organ Procurement Organization Policy](#)
- [Identifying Opportunities for Asystolic Recovery of Organs for Donation Policy](#)
- [Family Notification of Organ Donation Procedures](#)
- [Tissue Adverse Events Investigation Procedures](#)

Waived Testing (WT) 48

- [Waived Testing Policy](#)
- [Waived Testing Quality Control Policy](#)
- [Waived Testing Competency Assessment Policy](#)

Resources 49

- [General Sources](#)
- [Plan Template](#)
- [Policy and Procedures Evaluation Checklist](#)
- [Policy and Procedures Inventory Template](#)
- [Policy Template](#)
- [Procedures Template](#)
- **UPDATED!** [Required Written Documentation Chapter for CAMCAH](#)
- **UPDATED!** [Required Written Documentation Chapter for CAMH](#)
- [Scoring Rubric to Assess P&Ps](#)

Staff Vaccination Policy

[Logo]	TITLE Staff Vaccination Policy		IDENTIFICATION NUMBER
ORGANIZATION(S)	LEVEL <input type="checkbox"/> System <input type="checkbox"/> Organization <input type="checkbox"/> Division <input type="checkbox"/> Department	CATEGORY <input type="checkbox"/> Clinical <input type="checkbox"/> Management <input type="checkbox"/> Regulatory	POSTING DATE EFFECTIVE DATE
REVIEW CYCLE <input type="checkbox"/> 1 year <input type="checkbox"/> 3 years LAST REVIEW DATE:		REPLACES TITLE: Staff Vaccination Policy EFFECTIVE DATE(S):	

APPLICABILITY

This policy is applicable to hospitals and critical access hospitals and correlates to Joint Commission standards that require written documentation.

POLICY STATEMENT

All staff members are encouraged to remain current in vaccination against vaccine-preventable diseases, in accordance with law and regulation, unless medically contraindicated or there is a declared vaccine shortage.

PURPOSE

To establish a staff vaccination program to minimize the transmission of infectious disease among staff members, patients, visitors, and others.

SCOPE

Applies to all staff members who work in areas of the hospital in which patient care, treatment, and services are provided.

Applies to all on-site areas of the hospital in which patient care is provided.

Note: Does not apply to staff members who provide care, treatment, or services from a remote location off site, such as those who provide telehealth services or telephone consultations from off-site locations.

Applies to all vaccine-preventable diseases, which may include but is not limited to the following:

- Influenza
- COVID-19
- Varicella (chickenpox)
- Hepatitis B
- Meningococcal
- Measles
- Mumps
- Rubella

- Tetanus
- Diphtheria
- Pertussis (whooping cough)
- Human papillomavirus (HPV)
- Zoster (shingles)

DEFINITIONS

Licensed practitioner – An individual who is licensed and qualified to direct or provide care, treatment, and services in accordance with state law and regulation, applicable federal law and regulation, and organizational policy.

Staff – As appropriate to their roles and responsibilities, all people who provide care, treatment, or services in the hospital, including those receiving pay (for example, permanent, temporary, part-time personnel, as well as contract employees), volunteers, and health profession students. The definition of staff does not include licensed practitioners who are not paid staff members or who are not contract employees.

RESPONSIBILITIES

The individual(s) with clinical authority over the infection prevention and control (IC) program is responsible for the following:

- Overseeing, reviewing, revising, maintaining, and implementing this policy
- Identifying an individual(s) to be responsible for the staff vaccination program
- Ensuring that this policy and related policies and procedures comply with applicable state law and regulation

Participating in the staff vaccination program is the responsibility of all staff members who work in areas of the hospital in which patient care, treatment, and services are provided.

Hospital leaders are responsible for providing the resources necessary to effectively implement this policy.

PROCEDURES

The individual(s) with clinical authority over the infection prevention and control (IC) program, employee/occupational health leader(s), or other designated individual does the following:

1. Designates an individual(s) to be responsible for the staff vaccination program, including managing clinics and monitoring activities.
2. Collaborates with hospital leaders to ensure availability of resources necessary to implement this policy.
3. Performs risk assessments to identify vaccine-preventable diseases that might affect staff, patients, others in the hospital, and the community.
4. Prioritize vaccine-preventable diseases for inclusion in the hospital's staff vaccination program.
5. Identifies additional vaccinations that will be included for staff at increased risk due to their job duties or responsibilities or other factors (such as age or personal health status).
6. Works with the Human Resources (HR) department to create educational materials about vaccination and vaccine-preventable diseases.
7. Uses data on vaccination declinations to inform improvement activities, if applicable under state law and regulation.
8. Ensures that all information and procedures in this document are included in, and consistent with, the hospital's IC Plan and applicable law and regulation.
9. Includes this policy in all review, evaluation, and assessment activities related to the IC Plan.

Staff members do the following:

1. Read and understand this policy and its requirements.
2. Read and understand applicable educational materials about vaccination(s) and vaccine-preventable disease(s), as provided by the hospital.
3. Sign and return the Acknowledgment of Receipt of Vaccination Materials to HR department.
4. Consent to receive the applicable vaccination(s).
OR
Decline to receive one or more of the applicable vaccination(s).
5. Perform all actions required by this policy each year, required by this policy and not completed prior to December 1 of each year.*

Individuals who consent to receive the vaccine(s) do the following:

1. Complete and sign the “Consent” section of the Staff Vaccination Statement.
2. Participate in one of the hospital’s vaccination clinics, as applicable.
OR
Arrange alternate plans to receive the applicable vaccination(s), such as visiting another health care facility or vaccination clinic.
3. Receive the applicable vaccination(s), as appropriate based on evidence-based clinical guidelines.
4. Obtain the appropriate documentation of the vaccination(s), which includes the following:
 - Individual’s name
 - Name of individual or organization that provided the vaccination(s)
 - Type of vaccination(s) provided
 - Date vaccination(s) was received
5. Provide vaccination documentation to their department manager or other supervisor.

Individuals who decline to receive the vaccine do the following, if applicable under state law and regulation:

1. Complete and sign the “Decline” section of the Staff Vaccination Statement, including the following:
 - Individual’s name
 - Reason for declining vaccination(s):
 - Medical contraindication (must provide appropriate documentation)
 - Inability to obtain vaccine(s) due to declared shortage (must provide appropriate documentation)
 - Personal reasons
 - Date vaccination(s) was declined
2. Individuals who decline due to personal reasons may be subject to appropriate disciplinary procedures, up to and including termination of employment, according to applicable law and regulation.*

Department managers/supervisors do the following:

1. Allow staff members time to attend a vaccination clinic or otherwise receive their applicable vaccine(s).
2. Ensure that all relevant documentation provided by staff members is complete, including the following:
 - Staff Vaccine Statement
 - Vaccination documentation
 - Documentation of medical contraindication
 - Documentation of declared shortage
3. Initiate and follow disciplinary procedures for individuals who do the following, as applicable

under state law and regulation:

- Indicate “personal reasons” for declining vaccination.*
- Do not provide valid documentation regarding vaccination, medical contraindication, or declared shortage.
- Do not complete all actions required in this policy prior to December 1 of each year.*

The HR department does the following:

1. Provides all staff members with the following:
 - Copy of this policy
 - Educational materials related to vaccination and vaccine-preventable diseases
 - Acknowledgment of Receipt of Vaccination Materials
2. Collects signed Acknowledgment of Receipt of Vaccination Materials.
3. Maintains copies of signed Acknowledgment of Receipt of Vaccination Materials in personnel files.
4. Notifies department managers or supervisors regarding any individuals who are not in compliance with this policy.
5. Collaborates with department managers or supervisors to initiate and follow appropriate disciplinary procedures.

The designated individual responsible for the staff vaccination program does the following:

1. Operates periodic staff vaccination clinics at the hospital.
2. Schedules the staff vaccination clinics to accommodate the highest possible number of individuals, considering factors such as the following:
 - Day/night shifts
 - Weekday/weekend shifts
 - Convenient locations (for example, cafeteria, near lobby entrance)
3. Provides vaccinations at these clinics free of charge* to staff members.
4. Provides incentives, as appropriate, to encourage participation in staff vaccination clinics.
5. Refers individuals to alternative sources of vaccination in cases of declared shortage, or implements appropriate alternative IC processes, as applicable.
6. Maintains electronic records to track the following data:
 - Number of individuals who consent to receive applicable vaccination(s)
 - Number of individuals who receive their applicable vaccination(s) through one of the hospital’s vaccination clinics
 - Number of individuals who receive their applicable vaccination(s) elsewhere
 - Number of individuals who decline to receive their applicable vaccination(s)
 - Number of individuals who decline due to medical contraindication
 - Number of individuals who decline due to declared vaccine shortage
 - Number of individuals who decline due to personal reasons
 - Number of individuals who fail to complete the actions required by this policy prior to December 1*
 - Number of individuals who are terminated due to failure to comply with this policy
7. Uses standard statistical methodologies to determine rates of vaccination among staff members.

REFERENCES

Joint Commission Standard IC.04.01.01, EP 3. The [hospital]’s infection prevention and control program has written policies and procedures to guide its activities and methods for preventing and controlling the transmission of infections within the [hospital] and between the [hospital] and other institutions and settings. The policies and procedures are in accordance with the following hierarchy

of references:

- a. Applicable law and regulation.
- b. Manufacturers' instructions for use.
- c. Nationally recognized evidence-based guidelines and standards of practice, including The Centers for Disease Control and Prevention (CDC) Core Infection Prevention and Control Practices for Safe Healthcare Delivery in All Settings, or, in the absence of such guidelines, expert consensus or best practices. The guidelines are documented within the policies and procedures.

Joint Commission Standard IC.06.01.01, EP 5. The [hospital] implements policies and procedures to minimize the risk of communicable disease exposure and acquisition among its staff, in accordance with law and regulation. The policies and procedures address the following:

- Screening and medical evaluations for infectious diseases
- Immunizations
- Staff education and training
- Management of staff with potentially infectious exposures or communicable illnesses

Centers for Disease Control and Prevention. Immunization of Health-Care Personnel: Recommendations of the Advisory Committee on Immunization Practices (ACIP). *Morbidity and Mortality Weekly Report (MMWR)*. November 25, 2011.

Centers for Disease Control and Prevention. Advisory Council on Immunization Policy (ACIP) Recommendations. Updated Aug 29, 2024. <https://www.cdc.gov/acip/vaccine-recommendations/index.html>

ATTACHMENTS

Acknowledgment of Receipt of Vaccination Materials
Staff Vaccine Statement

APPROVAL

NAME AND CREDENTIALS	NAME AND CREDENTIALS
TITLE	TITLE
SIGNATURE	DATE
SIGNATURE	DATE

* Text shaded yellow is content that goes above and beyond Joint Commission standards and, therefore, is not specifically required.